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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,674	03/12/2001	Clayton P. Spitz	FDC 0150 PUS

CONFIRMATION NO. 1853

FORMALITIES LETTER



OC000000006124230

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SEP 05 2001

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Date Mailed: 05/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$116.
 - \$36 for 2 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 956.**

BP
710.00
130.00
80.00
36.00

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/05/2001 ANONDAF1 00000012 09804674

01 FC:101
02 FC:105
03 FC:102
04 FC:103

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:2876

CLAYTON P. SPITZ ET AL.

Examiner: NOT ASSIGNED

Serial No.: 09/804,674

Filed: March 12, 2001

For: **PURCHASING CARD TRANSACTION RISK MODEL**

Attorney Docket No.: FDC 0150 PUS

MISSING PARTS TRANSMITTAL LETTER

Attention: Box Missing Parts
Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

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Sir:

Enclosed with reference to the above matter are the following documents:

1. Notice to File Missing Parts of Application (copy to be returned);
2. Declaration for Patent Application;
3. Petition For Filing Patent Application Under 37 C.F.R. § 1.47(a), with petition fee of \$130.00;
4. Affidavit Of Mark Stuenkel;
5. Petition For Extension Of Time (one month) with check for one-month extension fee of \$110.00; and
6. Check in the amount of \$956.00 representing the surcharge (\$130.00) and patent application filing fee (\$826.00 including filing fee for large entity, payment for 2 additional claims over 20 and payment for 1 additional independent claim over 3).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Attention: Box Missing Parts, Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231 on:

August 29, 2001
Date of Deposit

MARK E. STUENKEL
Name of Person Signing


Signature

The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to our Deposit Account No. 02-3978. A duplicate of this Transmittal Letter is enclosed for that purpose.

Respectfully submitted,

CLAYTON P. SPITZ ET AL.

By: 

MARK E. STUENKEL

Reg. No. 44,364

Attorney/Agent for Applicants

Date: August 29, 2001

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